

## Form ADV Part 2B: *Brochure Supplement*

### **Item 1 – Cover Page**

#### **Lawrence Blau**

LL Financial Services, LLC  
6 Sleator Drive  
Ossining, New York 10562  
914-941-5533  
[www.LawrenceBlau.com](http://www.LawrenceBlau.com)

Date of Supplement: June 2021

This brochure supplement provides information about Lawrence Blau that supplements the LL Financial Services, LLC disclosure brochure. You should have received a copy of that brochure. Please contact Lawrence Blau at 914-941-5533 or at [Info@LawrenceBlau.com](mailto:Info@LawrenceBlau.com). If you did not receive LL Financial Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about LL Financial Services, LLC and Lawrence Blau is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 – Educational Background and Business Experience**

#### **Lawrence Blau**

Born: 1949  
CRD # 1031660

#### ***Educational Background:***

- St. John's University, Masters of Business in Taxation: 1979
- Brooklyn College, Bachelor of Science in Accounting: 1972

#### ***Business Experience:***

- LL Financial Services, LLC (formerly Lawrence Blau & Associates), Managing Member and Chief Compliance Officer, 05/2016 to Present;
- Larry's Eyes, LLC, Managing Member and Photographer, 05/2017 to Present;
- Lawrence Blau & Associates, Sole Proprietor and Accountant, 01/1983 to 05/2016;
- Starwood Corporation, Certified Public Accountant (CPA), 01/1980 to 01/1983;
- Laventhol & Horwath, CPA, 01/1977 to 01/1980;
- Goldstein Golub & Kessler, CPA, 01/1974 to 01/1977;
- Oppenheimer & Company, CPA, 01/1972 to 01/1973.

#### ***Professional Designations***

Certified Financial Planner™ CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following

requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals. Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:
- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals. CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **Item 3 – Disciplinary Information**

Lawrence Blau has no legal or disciplinary events to report.

### **Item 4 – Other Business Activities**

Lawrence Blau is engaged in non-investment related business activities through Larry's Eyes, LLC, a company through which Lawrence Blau offers photography, marketing, and editing services. Such services are considered completely separate from services offered through LL Financial Services, LLC and currently account for the majority of Mr. Blau's time.

Lawrence Blau also provides tax preparation and other accounting services through LL Financial Services, LLC. If Lawrence Blau determines that a client is in need of tax or accounting services, the client may be referred to Lawrence Blau's accounting practice. In addition, if accounting or tax clients of Lawrence Blau are in need of financial planning or other advisory services, Lawrence Blau acting in his or her separate capacity as an accountant may refer or recommend investment services available through LL Financial Services, LLC. Clients are not obligated in any manner to use the services or an accounting firm recommended or owned by Lawrence Blau. When working for LL Financial Services, LLC Lawrence Blau spends approximately 90% of his time on accounting and tax prep services with his remaining time spent on investment advisory services.

**Item 5 – Additional Compensation**

Other his normal salary and share of profits as an owner of the firm and compensation, Lawrence Blau receives no other compensation.

**Item 6 – Supervision**

Lawrence Blau is the Chief Compliance Officer of LL Financial Services, LLC. He is responsible for overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives. Lawrence Blau can be contacted at 914-941-5533.

**Item 7 – Requirements for State-Registered Advisers**

Lawrence Blau has not been involved in an arbitration award and has not been found liable in an arbitration claim alleging damages in excess of \$2,500. He has not been involved in any award or found liable in any civil, self-regulatory organization, or administrative proceeding. Additionally, he has not been the subject of a bankruptcy petition.

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